

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Capon et al. Serial No.: To be assigned Filed: January 30, 2004 For: ADHESON VARIANTS	Group Art Unit: To be assigned Examiner: To be assigned Confirmation No: To be assigned CUSTOMER NO: 09157 Express Mail No. EV 351931777 US Mailed January 30, 2004
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INFORMATION DISCLOSURE STATEMENT

Mail Stop Patent Application
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information (attached hereto and listed on the attached revised Form PTO-1449) of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

This Information Disclosure Statement is filed in accordance with the provisions of:

[X] 37 CFR § 1.97(b)

- within three months of the filing date of the application other than a continued prosecution application under 37 CFR § 1.53(d); **or**
- within three months of the date of entry of the national stage of a PCT application as set forth in 37 CFR § 1.491, **or**
- before the mailing of the first Office action on the merits; **or**
- before the mailing of the first Office action after the filing of a request for a continued examination under 37 CFR § 1.114.

A list of the patent(s) or publication(s) is set forth on the attached revised Form PTO-1449 (Modified).

A copy of the items on PTO-1449 is supplied herewith.

Those patent(s) or publication(s) which are marked with an asterisk (*) in the attached PTO-1449

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form are not supplied because they were previously cited by or submitted to the Office in a prior application Serial No. 10/157,408, filed May 28, 2002 and relied upon in this application for an earlier filing date under 35 USC § 120.

A concise explanation of relevance of the items listed on PTO-1449 is:

☒ not given

☐ given for each listed item

☐ given for only non-English language listed item(s) [Required]

☐ in the form of an English language copy of a Search Report from a foreign patent office, issued in a counterpart application, which refers to the relevant portions of the references.

In accordance with 37 CFR § 1.97(g), the filing of this information disclosure statement shall not be construed as a representation that a search has been made.

In accordance with 37 CFR § 1.97(h), the filing of this information disclosure statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 CFR § 1.56(b).

The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 for this Information Disclosure Statement, or credit overpayment to Deposit Account No. 07-0630. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

GENENTECH, INC.

Date: January 30, 2004

By: 

Jeffrey S. Kubinec

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FORM PTO-1449 LIST OF DISCLOSURES CITED BY APPLICANT (Use several sheets if necessary)	U.S. Dept. of Commerce Patent and Trademark Office	Atty Docket No. P0444P1C7	Serial No.
		Applicant Capon et al.	
		Filing Date 30 Jan 2004	Group

U.S. PATENT DOCUMENTS

Examiner Initials		Document Number	Date	Name	Class	Subclass	Filing Date
	* 1	3,959,080	25.05.76	Orth et al.			
	* 2	4,002,531	11.01.77	Royer			
	* 3	4,055,635	25.10.77	Green et al.			
	* 4	4,301,144	17.11.81	Iwashita et al.			
	* 5	4,412,989	01.11.83	Iwashita, Y.			
	* 6	4,444,878	24.04.84	Paulus et al.			
	* 7	4,745,055	17.05.88	Schenk, D.			
	* 8	4,761,371	02.08.88	Bell et al.			
	* 9	4,766,106	23.08.88	Katre, N.			
	* 10	4,816,567	28.03.89	Cabilly et al.			
	* 11	4,879,211	07.11.89	Wang et al.			
	* 12	5,336,603	09.08.94	Capon et al.			

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Examiner Initials		Document Number	Date	Country	Class	Subclass	Translation Yes No	
	* 13	068,763	05.01.83	EPO				
	* 14	088,695	14.09.83	EPO				
	* 15	120,694	03.10.84	EPO				
	* 16	139,416	02.05.85	EPO				
	* 17	173,494	05.03.86	EPO				
	* 18	244,221	04.11.87	EPO				
	* 19	255,694	10.02.88	EPO				
	* 20	256,654	24.02.88	EPO				
	* 21	266,663	11.05.88	EPO				
	* 22	278,776	17.08.88	EPO				
	* 23	296,786	28.12.88	EPO				
	* 24	313,377	26.04.89	EPO				
	* 25	325,262	26.07.89	EPO				
	* 26	330,227	30.08.89	EPO				
	* 27	331,356	06.09.89	EPO				
	* 28	EP 319,815	14.06.89	EPO				
	* 29	WO 85/03947	12.09.85	PCT				
	* 30	WO 87/03600	18.06.87	PCT				
	* 31	WO 88/01304	25.02.88	PCT				
	* 32	WO 88/03559	19.05.88	PCT				
	* 33	WO 88/07376	06.10.88	PCT (ENGLISH ABSTRACT)				

Examiner

Date Considered

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	* 34	WO 88/09344	01.12.88	PCT			
	* 35	WO 89/01940	09.03.89	PCT			
	* 36	WO 89/03221	20.04.89	PCT			
OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages, etc.)							
	* 37	Allaway et al., "Expression and Characterization of CD4-IgG ₂ , a Novel Heterotetramer That Neutralizes Primary HIV Type 1 Isolates" <u>Aids Research and Retroviruses</u> 11(5):533-539 (1995)					
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	* 53	Gascoigne et al., "Secretion of chimeric T cell receptor-immunoglobulin fusion proteins" <u>Journal of Cellular Biochemistry Supplement</u> 11(Part D, Abs. 333):259 (1987)					
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*	75	Morrison et al., "Transfer and expression of immunoglobulin genes" <u>Annual Review of Immunology</u> 2:239-256 (1984)			
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